



State of Utah

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DEPARTMENT OF HUMAN SERVICES

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MEMORANDUM

TO: Department of Human Services Institutional Review Board (DHS IRB)
Brenda Ahlemann: Division of Substance Abuse and Mental Health (DSAMH)
Frank Rees: Utah State Hospital (USH)
John DeWitt: Division of Juvenile Justice Services (DJJS)
Navina Forsythe: Division of Child and Family Services (DCFS)
Peggy Matlin: Division of Aging and Adult Services (DAAS)
Chad Midgley: Division of Services for People with Disabilities (DSPD)
Yvonne Paul: Utah Price Center

FROM: Frank Rees: Utah State Hospital (USH) & Navina Forsythe: Division of Child and Family Services (DCFS)

Re: IRB Meeting

Date: February 19, 2014
Time: 10:00 AM
Room: 1045

Attached is a copy of the agenda. Please review the materials that have been given to you and be prepared to discuss them at the meeting.

If you are unable to attend, please let us know.

Sincerely,

Frank Rees & Navina Forsythe
DHS IRB Co-Chairs

AGENDA

Date: February 19, 2014

Time: 10:00 AM

Room: 1045

PROTOCOLS

Principal Investigator: Timothy Slocum, Ph.D. / Cicely Nickerson

Study Name: Negative Reinforcement Through Contingent Easy Task Presentation

DHS IRB #Number: 0542

Study Type: New Research

DHS affiliated agency: DSPD

RISK LEVEL: Minimal Risk

Brief Description of Study: Escape from instructional demands is one of the most common functions of problem behavior (Tiger, Hanley, & Bruzek, 2008). Some research suggests that a switch from difficult to easy tasks may function as a reinforcer for problem behavior. This is especially dangerous in situations in which easy tasks are part of the intervention procedure for problem behavior. This project will examine the reinforcing effects of a switch from low-probability (low-p) to high-probability (high-p) tasks for individuals whose problem behavior is maintained by escape from demands. It will also provide preliminary evidence as to the quality of reinforcement in a switch from low-p to high-p tasks. Participants will include 3-5 individuals with a developmental or intellectual disability and/or referred for problem behavior disorder who engage in problem behavior maintained by escape from demands. We will compare rate of problem behavior during two intervention conditions in relation to a baseline condition using a multi-element design. During the baseline condition (break), a break from low-p demands will be presented contingent on problem behavior. In the first intervention condition, problem behavior following a low-p task will no longer result in a break from demands (escape extinction). During the second intervention condition, problem behavior following a low-p task will result in a switch to high-p tasks. Some research suggests that participants will engage in low rates of problem behavior relative to baseline during both intervention conditions, and that a larger decrease in problem behavior will occur during escape extinction condition than during a switch to high-p tasks condition. If obtained, these results will imply that for individuals whose problem behavior is maintained by escape from demands, a switch from a low-p task to a high-p task may reinforce problem behavior.

Principal Investigator: Kristine Campbell, M.D., M.Sc

Study Name: Trauma histories and health among children remaining at home after abuse or neglect: A feasibility study

DHS IRB #Number: 0544

Study Type: New Research

DHS affiliated agency: DCFS

RISK LEVEL: Minimal Risk

Brief Description of Study: This is a feasibility study intended to test recruiting procedures for future research. All results will be used for future grant preparation (feasibility, sample size, and power calculations). No published products will result from this work. Primary caregivers of children remaining at home following recent closure of a CPS-substantiated case of child abuse or neglected will be contacted by telephone by DCFS staff. Caregivers will be given basic information related to this research project and asked for permission to disclose caregiver name, phone number, and best time for contact to the PI (K. Campbell). Contact information of consenting caregivers will be provided to the PI, who will contact caregivers by phone. The research study will be explained in full including consent. Those caregivers agreeing to participation will be given a 20-30 minute telephone survey measuring

cumulative traumatic experiences, health needs, and current health access of the child in the home identified as a victim of abuse. Additional questions will probe 1) acceptability of these research questions, 2) interest to participating in qualitative interviews related to this research, and 3) willingness to release SAFE data to the PI under varying conditions.

Principal Investigator:

Study Name:

DHS IRB #Number,

Study Type: (New Research, Amendment Request, Annual Review, other)

DHS affiliated agency

RISK LEVEL: ☐ < Minimal risk; ☐ Minimal Risk; ☐ > Minimal risk but with direct benefit to subjects; ☐ > Minimal risk but no direct benefit to subjects.

Brief Description of Study:

EXPEDITED REVIEWS

Principal Investigator: Kristine Campbell

Study Name: Healthcare utilization associated with child maltreatment

DHS IRB #Number: 0398

Study Type: (Annual Review)

DHS affiliated agency: Division of Child and Family Services

RISK LEVEL: ☐ < Minimal risk; ☒ Minimal Risk; ☐ > Minimal risk but with direct benefit to subjects; ☐ > Minimal risk but no direct benefit to subjects.

Brief Description of Study: This retrospective case-control study will compare the healthcare utilization of a Utah Medicaid population based on a household-level exposure to a first-time finding of child maltreatment by the Utah Division of Child and Family Services (DCFS) between 2000 and 2008. Through the project aims outlined below, this study will describe the impact of child maltreatment on healthcare systems, improve our understanding of household-level effects of child maltreatment, and suggest new avenues for health-based interventions to improve outcomes among families with a history of child maltreatment. The DOH and DCFS datasets appear to have been successfully linked. Researchers are in the early stages of cleaning and learning the content of the deidentified dataset. They anticipate preliminary analysis to be emerging over the coming 8 months, with continued analysis in coming years. Navina Forsythe conducted an expedited review of the annual renewal. There are no changes proposed, study is minimal risk analysis of a de-identified dataset. Study approved for another year.

Principal Investigator: Dr. Kristine Campbell

Study Name: What happened then? Experiences and attitudes of caregivers after a CPS finding of child abuse

DHS IRB #Number: 0400

Study Type: (Annual Review)

DHS affiliated agency

RISK LEVEL: ☐ < Minimal risk; ☒ Minimal Risk; ☐ > Minimal risk but with direct benefit to subjects; ☐ > Minimal risk but no direct benefit to subjects.

Brief Description of Study: Relatively little is known of the experiences of children and caregivers after a finding of child abuse or neglect by child protective services (CPS). Quantitative research methods are important in understanding the aggregate experiences of households after CPS involvement, but may miss important individual experiences that can explain unusual or unexpected outcomes. Qualitative interviews of selected caregivers in the year following a substantiated CPS finding will add to our understanding of families living with a history of child maltreatment and generate new hypotheses related to the recurrence of maltreatment within these families. This study aims to do the following: 1) To

identify key community supports for caregivers after CPS finding of child maltreatment; and 2) to explore a caregiver's perspective on positive and unanticipated negative household consequences associated with a CPS finding of child maltreatment. This is an annual renewal request. Researcher provided the following update: *At this time, we have completed all interviews and analysis. We have presented our findings at 2 national conferences in 2013 (The Association for Women in Psychology and The Helper Society) and have provided preliminary results of our research to those participants who requested recontact. We are currently in the process of writing the manuscript of our results, which we will provide to DCFS prior to submission to the journal Child Abuse and Neglect.* Navina Forsythe conducted an expedited review. The is a minimal risk study. Because the researcher still has identifying information annual renewal is still needed.

Principal Investigator: Christina Haynes

Study Name: Implementation Evaluation of the Strengthening Families DVD to Determine Use and Participation

DHS IRB #Number: 0545

Study Type: (New Research)

DHS affiliated agency: Division of Juvenile Justice Services

RISK LEVEL: ☐ < Minimal risk; ☒ Minimal Risk; ☐ > Minimal risk but with direct benefit to subjects; ☐ > Minimal risk but no direct benefit to subjects.

Brief Description of Study:

Protocol was reviewed by John DeWitt and Navina Forsythe. Protocol was determined to be exempt because the methodology is survey's and the information gathered is de-identified. 45 CFR 46.101(b)(2). roposed study participants are the parents of youths enrolled in one of two JJS Diversion programs during the first quarter of 2014. As such, John does not believe that it falls within the Federal requirements for research involving prisoners.

There is no specific intervention. Rather, the study aims to gather feedback through an anonymous survey sent by JJS staff to parents/guardians about an instructional CD provided to the parents/guardians by JJS staff. Researchers are interested only in determining whether parents are reviewing the CD based on responses to questions about it's content. Staff will provide the CD to parents during the time their youths are enrolled in the Diversion. Later, JJS staff will mail a survey packet to those same parents requesting their feedback. No identifiers will be included in the survey response that could link individual responses to specific parents or clients. Further, staff will receive no feedback about individual survey results and will not know which parents have or have not responded and will not be sending reminders to those parents who have not responded. Researchers will have no direct contact with study participants or their children or access to any personal identifiers of those who do or do not choose to participate. Researchers will only receive anonymous returns of hardcopy or Survey Monkey surveys.

LETTERS SENT

Principal Investigator: Timothy Slocum

Study Name: A Translational Investigation of Behavioral Contrast: Effects of Reinforcement-Based Procedures

DHS IRB #Number: 0541

Final Approval

Principal Investigator: Kristine Campbell

Study Name: Trauma histories and health among children remaining at home after abuse or neglect: A feasibility study

DHS IRB #Number: 0544

Conditional Approval

Principal Investigator: Kristine Campbell

Study Name: Healthcare utilization associated with child maltreatment

DHS IRB #Number: 0398

Final Approval of ongoing review

Principal Investigator: Kristine Campbell

Study Name: What happened then? Experiences and attitudes of caregivers after a CPS finding of child abuse

DHS IRB #Number: 0400

Final Approval of ongoing review

Principal Investigator: Kort Prince

Study Name: Evaluation of Factors Predicting and Relating to Length of Stay in Utah's Juvenile Secure Care Facilities

DHS IRB #Number: 0543

Final Approval

OTHER DISCUSSION